

CÔNG TY CỔ PHẦN
ĐẦU TƯ DNA
DNA INVESTMENT
JOINT STOCK COMPANY

Số/No.: 02/2025/CV-CBTT

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập – Tự do – Hạnh Phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hà Nam, ngày 24 tháng 01 năm 2025

Hà Nam, January 24th 2025

**CÔNG BỐ THÔNG TIN TRÊN CỔNG THÔNG TIN ĐIỆN TỬ
CỦA ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ SGDCK HÀ NỘI**
**INFORMATION DISCLOSURE ON THE STATE SECURITIES COMMISSION'S
AND HANOI STOCK EXCHANGE'S ELECTRONIC INFORMATION PORTAL**

Kính gửi/To: Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

1. Tên tổ chức: **Công ty Cổ phần Đầu tư DNA**

Name of organization: DNA Investment Joint Stock Company

- Mã chứng khoán: **KSD**

- *Securities code: KSD*

- Địa chỉ: Đường Lê Chân – KCN Châu Sơn – Thành phố Phủ Lý – Hà Nam

- *Address: Le Chan Street, Chau Son Industrial Park, Phu Ly City, Ha Nam Province*

- Điện thoại liên hệ/*Tel:* 0226.384.8888 – 0226.384.0408 Fax: 226.385.0869

- Người thực hiện công bố thông tin: Bà Bùi Thị Loan

- *Person responsible for the information disclosure: Mrs. Bui Thi Loan*

- Loại thông tin công bố:

- *Type of information disclosure:*

24h

24h

72h

72h

bất thường

Extraordinary

theo yêu cầu

Upon request

định kỳ

Periodic

2. Nội dung thông tin công bố:

Content of disclosure:

- Báo cáo tình hình quản trị công ty năm 2024 (Bản phát hành).

- *Report on corporate governance 2024 (Release version).*

3. Địa chỉ website đăng tải toàn bộ nội dung công bố thông tin là
<http://www.hangermetal.vn/>

The website where the full disclosure information can be found is <http://www.hangermetal.vn/>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct; we bear the full responsible to the law.

Tài liệu đính kèm:

- Báo cáo tình hình quản trị công ty năm 2024 (Bản phát hành).
- *Report on corporate governance 2024 (Release version).*

**NGƯỜI ỦY QUYỀN THỰC HIỆN CBTT
INDIVIDUAL AUTHORIZED TO
REPORT INFORMATION**

Nơi nhận/ Recipients:

- *Như trên/ As above;*
- *Lưu VP/ Office archive.*



BÙI THỊ LOAN

THE SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom - Happiness



**REPORT
ON CORPORATE GOVERNANCE**

(Release)

in 2024



**REPORT ON CORPORATE GOVERNANCE
(in 2024)**

To: - The State Securities Commission;
- Ha Noi Stock Exchange.

- Name of company: DNA Investment Joint Stock Company.
- Address of headoffice: Le Chan Street, Chau Son Industrial Park, Phu Ly City, Ha Nam Province.
- Telephone: 0226 3840 408 Fax: 0226 3850 869
- Charter capital: 120.000.000.000 VND
- Stock symbol: KSD.
- Governance model:
 - + General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.
- The implementation of internal audit: Not yet implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution/Decision No.	Date	Content
1	01/2024/NQ-DHĐCĐ	04/03/2024	<ul style="list-style-type: none">- Approve Report on the Activities of the Board of Directors;- Approve Report on the Activities of the Executive Board;- Approve Report on the Activities of the Board of Supervisory;- Approve the Company's audited financial statements for the year 2023;- Approve the Profit distribution plan for 2023;- Approve the selection of the audit firm for the 2024 financial statements;- Approve the dismissal of a member of

			<p>the Board of Directors;</p> <ul style="list-style-type: none"> - Approve the election of an additional independent member to the Board of Directors; - Approve the update, amendment and supplementation of business lines; - Approve the authorization for the Board of Directors to decide on certain matters within the authority of the General Meeting of Shareholders.
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II. Board of Directors (in 2024)

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mrs. Dam Thi Ai Len	Chairman of the Board of Directors	06/24/2020	
2	Mr. Bui Viet Vuong	Vice Chairman of the Board of Directors	06/24/2020	
3	Mr. Fu Jianmu	Member of the Board of Directors	04/20/2021	
4	Mr. Hoang Tung	Member of the Board of Directors	06/24/2020	04/03/2024
5	Mr. Nguyen Hong Tuan	Member of the Board of Directors	06/24/2020	
6	Mr. Nguyen Tien Dat	Independent member of the Board of Directors	04/03/2024	

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by BOD	Attendance rate	Reasons for absence
1	Mrs. Dam Thi Ai Len	4	100%	
2	Mr. Bui Viet Vuong	4	100%	
3	Mr. Fu Jianmu	4	100%	
4	Mr. Hoang Tung	0	0%	Submitted resignation letter effective from 12/05/2023

5	Mr. Nguyen Hong Tuan	2	100%	Submitted resignation letter effective from 01/08/2024
6	Mr. Nguyen Tien Dat	3	100%	Appointed from 03/04/2024

3. Supervising the Board of Management by the Board of Directors:

In 2024, the Board of Directors still regularly and promptly supervises and directs the activities of the Board of General Directors according to its functions and authority, in accordance with the provisions of the Enterprise Law 2020 and the Charter of Operations. Company's actions:

- Regularly supervise the implementation of production and business plans. Require the Board of General Directors to regularly report performance results for each production period. Propose timely solutions to improve production and business efficiency.
- Monthly meetings are held for the entire Company with members including: Board of Directors, Board of Supervisors, Board of General Directors, Heads of departments. To evaluate the level of performance of production and business tasks and performance results: Set out a detailed plan for the next month; Resolve remaining problems in the Company's production and business process.
- Regularly remind and urge the Board of Directors to report and disclose information in accordance with current legal regulations.

4. Activities of the Board of Directors' subcommittees (If any):

Board of Directors does not establish affiliated subcommittees.

5. Resolutions/Decisions of the Board of Directors:

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/2024/NQ-HDQT	02/01/2024	Approve the organization of the 2024 Annual General Meeting of Shareholders	4/4
2	02/2024/NQ-HDQT	06/27/2024	Approve the selection of the auditing firm for 2024	5/5
3	04/2024/NQ-HDQT	09/19/2024	Approve the updating, amending and supplementing business lines	4/4

4	05/2024/NQ-HĐQT	12/30/2024	Approve survey and developing plans for repairing, upgrading, and constructing new factories	4/4
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III. Board of Supervisors (Annual report)

1. Information about members of Board of Supervisors

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
Current members of the Board of Supervisors				
1	Mrs. Bui Thi Loan	Head of BOS	06/24/2020	College
2	Mrs. Do Thi Thanh	Member of BOS	04/20/2022	College
3	Mrs. Nguyen Thu Ha	Member of BOS	04/20/2022	Bachelor

2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mrs. Bui Thi Loan	4/4	100%	3/3	
2	Mrs. Do Thi Thanh	4/4	100%	3/3	
3	Mrs. Nguyen Thu Ha	4/4	100%	3/3	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors or Audit Committee:

- In 2024, the Supervisory Board has closely coordinated with the Board of Directors and the Board of General Directors in inspecting and monitoring the Company's production and business activities and financial situation.

- On the part of the Board of Directors, the Board of General Directors and functional departments have provided complete and timely information and documents on the management, administration and business activities of the Company at the request of the Company. Board of Supervisors; create conditions for the Supervisory Board to exercise its rights and duties in accordance with the provisions of law and the Company's Charter.

- In 2024, there will be no documents from shareholders or groups of shareholders sent to the Supervisory Board to request an inspection of issues related to the management and administration of the Board of Directors. Board of Directors, Board of Directors and other aspects of the Company's operations

4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:

- Inspect and review issued internal management documents to ensure compliance with newly issued legal regulations and the Company's development situation.
- Quarterly appraise reports evaluating the management work of the Board of Directors, reporting on the production and business situation of the General Director of the Company and appraising financial statements, ensuring that the reports reflect an average actual business operations and financial situation of the company at the time of reporting.
- Check the resolutions and decisions of the Board of Directors to ensure the appropriateness of authority in decision-making work. Participate in contributing ideas and performing a comprehensive control role (inspection and supervision before, during and after operations), identifying and controlling potential risks within or outside the company.
- Control the management and use of capital to preserve and develop the Company.

5. Other activities of the Board of Supervisors and Audit Committee (if any): Do not have.

IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management
1	Bui Viet Vuong	05/20/1982	Master of Business Administration	10/02/2015

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
Nguyen Hong Tuan	02/20/1988	Bachelor in Finance and Accounting	12/26/2012

VI. Training courses on corporate governance:

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance: Do not have.

VII. The list of affiliated persons of the public company (annual report) and transactions of affiliated persons of the Company

1. The list of affiliated persons of the Company

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No. *, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
I. Board of Directors									
1	Dam Thi Ai Len	030C355379	Chairman of BOD			06/24/2020		Appointed	
1.1	Dam Van Tu					06/24/2020		Father	
1.2	Le Thi Nhung					06/24/2020		Mother	
1.3	Dam Van Lieu					06/24/2020		Older	

								brother	
1.4	Dam Thanh Tung					06/24/2020		Younger brother	
1.5	Dam Van Hoang					06/24/2020		Younger brother	
1.6	Luu Van Luan					06/24/2020		Husband	
1.7	Luu Linh Dan					06/24/2020		Daughter	
1.8	Luu Minh Nhat					06/24/2020		Son	

2	Bui Viet Vuong	030CC355266	Vice Chairman of BOD, General Director			06/24/2020		Appointed	Legal representative
2.1	Nguyen Thi Hien					06/24/2020		Mother	
2.2	Nguyen Thi Quynh					06/24/2020		Wife	
2.3	Bui Thi Huong					06/24/2020		Older sister	

2.4	Bui Thi Thuy Hang					06/24/2020		Younger sister	
2.5	Bui Viet Anh					06/24/2020		Son	
2.6	Bui Ngoc Bao Chau					06/24/2020		Daughter	
2.7	Bui Duy Khanh					06/24/2020		Son	
3	Fu Jianmu		Member of BOD			04/20/2021		Appointed	
4	Hoang Tung	005C289636	Member of BOD			06/24/2020	04/03/2024	Dismissed	

4.1	Hoang Quoc Anh					06/24/2020	04/03/2024	Father	
4.2	Hoang Dung Tu					06/24/2020	04/03/2024	Daughter	
4.3	Luu Thi Anh Tuyet					06/24/2020	04/03/2024	Wife	
4.4	Hoang Quoc Hoan					06/24/2020	04/03/2024	Younger brother	
4.5	Hoang Thi Yen					06/24/2020	04/03/2024	Older sister	

4.6	Hoang Tung Duong					06/24/2020	04/03/2024	Son
5	Nguyen Hong Tuan	030C355101	Member of BOD, Chief Accountant, Corporate governance officer			06/24/2020		Appointed
5.1	Nguyen Thi Hoat					06/24/2020		Mother

5.2	Nguyen Anh Tuan					06/24/2020	Younger brother	
5.3	Nguyen Quang Tuyen					06/24/2020	Younger brother	
5.4	Vu Quynh Anh					06/24/2020	Wife	
5.5	Nguyen Vu Khanh Vy					06/24/2020	Daughter	
5.6	Nguyen Vu Khanh An					06/24/2020	Daughter	
5.7	Nguyen Chi Kien					06/24/2020	Son	

6	Nguyen Tien Dat		Independent member of BOD			04/03/2024		Appointed	
6.1	Nguyen Van Tham					04/03/2024		Father	
6.2	Bui Thi Huong					04/03/2024		Mother	
6.3	Nguyen Tam Nhu					04/03/2024		Younger sister	
II	Board of Supervisors								
7	Bui Thi Loan		Head of BOS, Authority			06/24/2020		Appointed	

			zed person for informa tion disclosu re						
7.1	Bui Van Trong					06/24/2020		Father	
7.2	Le Thi Muoi					06/24/2020		Mother	
7.3	Nguyen Van Oanh					06/24/2020		Husba nd	
7.4	Bui Van Tam					06/24/2020		Older brother	

7.5	Nguyen Duy Khanh					06/24/2020		Son	
8	Do Thi Thanh		Member of BOS			04/20/2022		Appointed	
8.1	Le Thu Huong					04/20/2022		Mother	
8.2	Nguyen Duc Huong					04/20/2022		Father-in-law	
8.3	Nguyen Thi Nhung					04/20/2022		Mother-in-law	

8.4	Nguyen Duc Dao					04/20/2022		Husband	
8.5	Do Van Quan					04/20/2022		Older brother	
8.6	Truong Thi Mai					04/20/2022		Sister-in-law	
9	Nguyen Thu Ha		Member of BOS			04/20/2022		Appointed	
9.1	Nguyen Thanh Son					04/20/2022		Father	

9.2	Nguyen Thi Hai					04/20/2022		Mother	
9.3	Nguyen Hai Som					04/20/2022		Husband	
9.4	Nguyen Van Thang					04/20/2022		Younger brother	
9.5	Nguyen Hoang Phu Trong					04/20/2022		Son	
III. Board of management									
10	Bui Viet Vuong (presented in section I.2)		Vice Chairman of BOD, General						

		Director							
IV. Chief accountant									
11	Nguyen Hong Tuan (presented in section I.5)								

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons
Do not have.
 3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power
Do not have.
 4. Transactions between the Company and other objects
 - 4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): Do not have.
 - 4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): Do not have.
 - 4.3. Other transactions of the Company (if any) may bring material or non-material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: Do not have.
- VIII. Share transactions of internal persons and their affiliated persons (annual report)**
1. The list of internal persons and their affiliated persons/ Transactions of internal persons and affiliated persons with shares of the Company

No.	Name	Securities trading account (if account (if	Position at the company (if	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of	Percentage of share	Note
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		any)	any)		the period	ownership at the end of the period
I	Dam Thi Ai Len	030C355379	Chairman of BOD		962.000	8,02%
1.1	Dam Van Tu				0	0
1.2	Le Thi Nhung				0	0
1.3	Dam Van Lieu				0	0
1.4	Dam Thanh				0	0

	Tung								
1.5	Dam Van Hoang					0	0		
1.6	Luu Van Luan					0	0		
1.7	Luu Linh Dan					0	0		
1.8	Luu Minh Nhat					0	0		
II	Bui Viet Vuong	030C355266	Vice Chairman of BOD and			2.996.000	24,97%	Legal represe ntative	

			General Director						
2.1	Nguyen Thi Hien					0	0		
2.2	Nguyen Thi Quynh					0	0		
2.3	Bui Thi Huong					0	0		
2.4	Bui Thi Thuy Hang					0	0		
2.5	Bui Viet Anh					0	0		

2.6	Bui Ngoc Bao Chau						0	0	
2.7	Bui Duy Khanh					0	0		
III	Fu Jianmu		Member of BOD			2.956.000	24,63%		
IV	Hoang Tung		Member of BOD			0	0		
4.1	Hoang Quoc Anh					0	0		

4.2	Hoang Dung Tu					0	0	
4.3	Luu Thi Anh Tuyet					0	0	
4.4	Hoang Quoc Hoan					0	0	
4.5	Hoang Thi Yen					0	0	
4.6	Hoang Tung					0	0	

	Duong								
V	Nguyen Hong Tuan	030C355101	Member of BOD, Chief Accountant, Corporate governance officer			914.900	7,62%		
5.1	Nguyen Thi Hoat					0	0		
5.2	Nguyen Anh Tuan					0	0		
5.3	Nguyen Quang Tuyen					0	0		

5.4	Vu Quynh Anh					0	0	
5.5	Nguyen Vu Khanh Vy					0	0	
5.6	Nguyen Vu Khanh An					0	0	
5.7	Nguyen Chi Kien					0	0	
V	Nguyen Tien Dat		Independent member of BOD			0	0	
6.1	Nguyen Van Tham					0	0	

6.2	Bui Thi Huong					0	0		
6.3	Nguyen Tam Nhu					0	0		
VII	Bui Thi Loan		Head of BOS, Authorized person for information disclosure			0	0		
7.1	Bui Van Trong					0	0		
7.2	Le Thi Muoi					0	0		

7.3	Nguyen Van Oanh					0	0	
7.4	Bui Van Tam					0	0	
7.5	Nguyen Duy Khanh					0	0	
VIII	Do Thi Thanh		Member of BOS			0	0	Appointed
8.1	Le Thu Huong					0	0	

8.2	Nguyen Duc Huong					0	0	
8.3	Nguyen Thi Nhung					0	0	
8.4	Nguyen Duc Dao					112.200	0,935%	
8.5	Do Van Quan					0	0	
8.6	Truong Thi Mai					0	0	
IX	Nguyen Thu Ha		Member of BOS			0	0	

9.1	Nguyen Thanh Son						0	0	
9.2	Nguyen Thi Hai						0	0	
9.3	Nguyen Hai Sam						0	0	
9.4	Nguyen Van Thang						0	0	

9.5	Nguyen Hoang Phu Trong					0	0	
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2. Transactions of internal persons and affiliated persons with shares of the company:

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Bui Viet Vuong	Vice Chairman of BOD, General Director	2.811.000	23,42%	2.996.000	24,97%	Buy 185.000 shares (08/22-09/18/2024)
2	Nguyen Hong Tuan	Chief Accountant	600.000	5%	914.900	7,62%	Buy 200.000 shares (09/23-10/22/2024) Buy 25.700 shares (10/29-11/27/2024) Buy 89.200 shares (12/09-12/31/2024)

IX. Other significant issues

Do not have.

Recipients:

- As attached;
- Archived: Documentation office.

CHAIRMAN OF BOARD OF DIRECTOR



DAM THI AI LEN

** This English translation is equivalent to and consistent with the original Vietnamese version. In case of any discrepancies or differences in interpretation between the Vietnamese and English versions, the Vietnamese version shall prevail.*